

UTILITY PATENT APPLICATION TRANSMITTAL

(only for new non-provisional applications under 37 CFR §1.53(b))

16711
051904

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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May 19, 2004

Date of Deposit

Signature

EL 964823843 US

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Transmitted herewith for filing is the patent application under 37 CFR 1.53(b) of:

AVENTIS DOCKET NO.: **DEAV2003/0035 US NP**
INVENTORS: **NAZARE, et al.**
TITLE: **Indole Derivatives as Factor Xa Inhibitors**

APPLICATION ELEMENTS

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the DisclosureTotal Pages 139
3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
4. Oath or Declaration Total Pages 2
 - a. ☒ Newly unexecuted (original or copy)
 - b. ☐ Copy from a prior application (37 CFR § 1.63(d))
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
5. Power of Attorney Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR § 1.63(d))
6. ☐ Microfiche Computer Program (Appendix)

- ☐ If a **CONTINUING Application**, check appropriate box, and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part
 of prior application no: _____, filed _____,
 Examiner _____, Group/Art Unit _____.
 (The cross reference has been/will be inserted on page one of the specification).

☒ This application claims the benefit of U.S. Provisional Application No. 60/507,172, filed September 30, 2003.

☒ This application claims the Priority of European Application No. 03011306.2, filed May 19, 2003.

- ☐ **Incorporation By Reference** (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
 8. ☐ 37 CFR §3.73(b) Statement
 (when there is an assignee)
 9. ☐ Verified English Translation Document (if applicable)
 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 11. ☐ Preliminary Amendment
 12. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 13. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application,
 (PTO/SB/09-12) Status still proper and desired
 14. ☒ Certified Copy of Priority Document(s) – EP 03011306.2
 (if foreign priority is claimed)
 15. ☒ Other: Application Data Sheets - 4 Pages

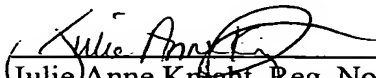
CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label

005487

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May 19, 2004


 Julie Anne Knight, Reg. No. 48,867
 Attorney/Agent for Applicant

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FEE TRANSMITTAL
for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known

Application Number	Not Yet Assigned
Filing Date	May 19, 2004
First Named Inventor	NAZARE, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	DEAV2003/0035 US NP

TOTAL AMOUNT OF PAYMENT (\$ 770.00)**METHOD OF PAYMENT** (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit AccountDeposit Account Number
Deposit Account Name

18-1982

Aventis Pharmaceuticals Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
1001 770	2001 375	Utility filing fee	770
1002 340	2002 165	Design filing fee	
1003 530	2003 260	Plant filing fee	
1004 770	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$770.00)**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
15	2		0	0	0
			0	0	0
			0	0	0

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 42	Independent claims in excess of 3	
1203 290	2203 140	Multiple dependent claim, if not paid	
1204 86	2204 42	**Reissue independent claims over original patent	
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English Specification	
1812 2,520	1812 2,520	For filing a request for reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 205	Extension for reply within second month	
1253 950	2253 465	Extension for reply within third month	
1254 1,480	2254 725	Extension for reply within fourth month	
1255 2,010	2255 985	Extension for reply within fifth month	
1401 330	2401 160	Notice of Appeal	
1402 330	2402 160	Filing a brief in support of an appeal	
1403 290	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 650	Petition to revive - unintentional	
1501 1,330	2501 650	Utility issue fee (or reissue)	
1502 480	2502 235	Design issue fee	
1503 640	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Petitions related to provisional applications	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY****Complete (If applicable)**

Name (Print/Type)	Julie Anne Knight, Reg. No. 48,867	Registration No. (Attorney/Agent)	48,867	Telephone	(908) 231-3679
Signature	Julie Anne Knight	Date	May 19, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Aventis Pharmaceuticals Inc. template